

PROCUREMENT AND PROPERTY MANAGEMENT DIVISION

Form No. AMS-Form-009

BROOKHAVEN NATIONAL LABORATORY

Revision No. 5

Managed by Brookhaven Science Associates, LLC
under contract to the U.S. Department of Energy

APPROVED BY:

D. Rawlings / 02/14/11

PPM Manager/Date

ACQUISITION MANAGEMENT SYSTEM FORM

Representations and Certifications - Procurement Specific

Solicitation Number	<input type="text"/>	Company Name	<input type="text" value="The Pennsylvania State University"/>	
NAICS Number	<input type="text" value="611310"/>	DUNS No.	<input type="text" value="003403953"/>	Certifying Official <input type="text" value="John W. Hanold"/>

I Supplier Specific Representations and Certifications

The offeror has:

- ☒ Enclosed a completed AMS-Form-010
- ☐ AMS-Form-010 will be completed prior to award of any contract or purchase order.
- ☐ Submitted to the BSA's PPM Division Representations and Certifications AMS-Form-010, that are incorporated herein by reference, and are current, accurate and complete as of the date of this proposal, except as follows:

Date of Previous Submission Exceptions **II Reporting Executive Compensation and First Tier Subcontract Awards**

For any award valued at \$25,000 or more and in accordance with FAR 52.204-10 and the Article of the BSA General Terms and Conditions of this Contract/Purchase Order entitled "Reporting Executive Compensation", the offeror shall provide information relative to total executive compensation if the source of annual gross revenue at the thresholds indicated in section (1) below are attained.

- (1) ☐ The Offeror shall check the following for the preceding fiscal year as applicable:
- ☐ 80 percent or more of its annual gross revenues is received from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements
 - ☒ \$25,000,000 or more in annual gross revenues is received from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements
 - ☒ The public does not have access to information relative to compensation of the five most highly compensated executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986
- (2) If all blocks are checked in section (1), then the Offeror shall report to PPM the names and total compensation of each of the five most highly compensated executives for the offeror's preceding completed fiscal year. Total compensation is defined as:
- (a) Salary and bonus

- (b) Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
 - (c) Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
 - (d) Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
 - (e) Above-market earnings on deferred compensation which is not tax-qualified.
 - (f) Other compensation, if the aggregate value of all such other compensation (e.g., severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.
- (3) This information shall be provided to the cognizant Buyer/Contracts Specialist by separate letter.

III Buy American Certification

The offeror certifies that each end product, except those listed below, is a domestic end product as defined under FAR 52.225-1 and that the offeror has considered components of unknown origin to have been mined, produced, or manufactured outside of the United States. The offeror shall list as foreign end products those end products manufactured in the United States that do not qualify as domestic end products.

Foreign End Products:

Line Item No.	Country of Origin
N/A	N/A

IV Certification Regarding Debarment, Suspension, Proposed Debarment, and Other Responsibility Matters

- (1) (a) The Offeror certifies, to the best of its knowledge and belief, that-
- (i) The Offeror and/or any of its Principals-
 - (A) ☐ Are presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
 - ☒ Are Not
 - (B) ☐ Have within a three-year period preceding this offer, been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and
 - ☒ Have Not
 - (C) ☐ Are presently indicted for, or otherwise criminally or civilly charged by a government entity with, commission of any of the offenses enumerated in paragraph (a)(1)(B) of this provision.
 - ☒ Are Not

- (ii) The Offeror ☐ has within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
☒ has not

- (b) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

This Certification Concerns a Matter Within the Jurisdiction of an Agency of the United States and the Making of a False, Fictitious, or Fraudulent Certification May Render the Maker Subject to Prosecution Under Section 1001, Title 18, United States Code.

- (2) The Offeror shall provide immediate written notice to BSA's Contractual Representative if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (3) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by BSA's Contractual Representative may render the Offeror nonresponsible.
- (4) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (5) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to BSA and the Government, BSA's Contractual Representative may terminate the contract resulting from this solicitation for default.

V Place of Performance

- (1) Provide the Primary Place of Performance for any purchase orders or contracts resulting from this solicitation

Street Address	603 Walker Building
City	University Park
State	PA
Zip Code	16802-7000
Country	United States

- (2) ☐ Check here if the performance of any purchase orders or contracts resulting from this solicitation will be performed outside the United States and for which no recruitment of workers within the United States is involved. If Checked continue with Section VI.

- (3) ☐ Check here if the offeror, in the performance of any contract resulting from this solicitation, intends to use one or more plants or facilities located at a different address from the address indicated above as the primary place of performance. Please provide the Street Address, City, State, Country and Zip Code for each location under a separate attachment to this submission. In addition, Provide the Name and Address of the Owner and Operator of the Plant or Facility if Other than the Offeror.

VI Previous Contracts and Compliance Reports

The offeror represents that -

- (1) ☒ It Has participated in a previous contract, or subcontract, subject to FAR 52.222-26, Equal Opportunity, and if so
☐ It Has Not
- (2) ☒ It Has filed all required compliance reports; and
☐ It Has Not
- (3) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

VII Affirmative Action Compliance

The offeror represents that -

- ☐ has not developed and does not have on file, at each establishment, affirmative action programs required by the regulations of the Secretary of Labor (41 CFR 60-1 and 60-2); or
- ☒ has developed and has on file, at each establishment, affirmative action programs required by the regulations of the Secretary of Labor (41 CFR 60-1 and 60-2); or
- ☐ has not previously had contracts subject to the written affirmative action programs required by the regulations of the Secretary of Labor (41 CFR 60-1 and 60-2).

The following Representations and Certifications are required for all Non Commercial Procurements

VIII Property

The offeror represents that -

- (1) Government-owned property ☐ is ☒ is not contemplated under this contract.
- If yes: ☐ Government Furnished Property and/or ☐ Contractor Acquired Property
- (2) It ☒ does have ☐ does not have a property control system.
- (3) The Property Control System ☒ has ☐ has not been approved by a Government or Brookhaven official. If it has been approved please provide the Name and Address of the approving official under a separate attachment to this submission.

IX Rights to Proposal Data (Technical)

Except for data contained on pages <https://controller.psu.edu>, it is agreed that as a condition of award of a contract, and notwithstanding the conditions of any notice appearing thereon, the Government shall have unlimited rights (as defined in the "Rights in Data-General" clause contained in the contract) in and to the technical data contained in the proposal dated , upon which the contract is based.

X Representation of Limited Rights Data and Restricted Computer Software

- (1) The statement of work sets forth the work to be performed if a contract award results, and BSA's known delivery requirements for data (as defined in FAR 27.401). Any resulting contract may also provide BSA the option to order additional data under the Additional Data Requirements clause at FAR 52.227-16, if included in the contract. Any data delivered under the resulting contract will be subject to the Rights in Data-General clause at FAR 52.227-14 that is to be included in the contract. Under the latter clause, a Contractor may withhold from delivery data that qualify as limited rights data or restricted computer software, and deliver form, fit, and function data in lieu thereof. The latter clause also may be used with its Alternates II and/or III to obtain delivery of limited rights data or restricted computer software, marked with limited rights or restricted rights notices, as appropriate. In addition, use of Alternate V with this latter clause provides the Government the right to inspect such data at the Contractor's facility.
- (2) As an aid in determining BSA's need to include Alternate II or Alternate III in the clause at FAR 52.227-14, Rights in Data-General, the offeror shall complete paragraph (3) of this provision to either state that none of the data qualify as limited rights data or restricted computer software, or identify, to the extent feasible, which of the data qualifies as limited rights data or restricted computer software. Any identification of limited rights data or restricted computer software in the offeror's response is not determinative of the status of such data should a contract be awarded to the offeror.
- (3) The offeror has reviewed the requirements for the delivery of data or software and states -
 - ☒ None of the data proposed for fulfilling such requirements qualifies as limited rights data or restricted computer software.
 - ☐ Data proposed for fulfilling such requirements qualify as limited rights data or restricted computer software and are identified as follows:

Note: "Limited rights data" and "Restricted computer software" are defined in the contract clause entitled "Rights in Data-General."

The following Representations and Certifications are required for all Procurements greater than \$150,000

XI Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions

- (1) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989-
 - (a) No Federal appropriated funds have been paid, or will be paid, to any person for influencing, or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;
 - (b) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the BSA Procurement and Property Management Division; and
 - (c) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$150,000 shall certify and disclose accordingly.

The following Representations and Certifications are required for all Procurements greater than \$100,000 that are competitively awarded

XII Certification of Toxic Chemical Release Reporting

- (1) Executive Order 13148, of April 21, 2000, Greening the Government through Leadership in Environmental Management, requires submission of this certification as a prerequisite for contract award.
- (2) By signing this offer, the offeror certifies that-
 - (a) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 of PPA; or
 - (b) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: [Check each block that is applicable.]
 - (i) ☒ The facility does not manufacture, process, or otherwise use any toxic chemicals listed in 40 CFR 372.65:
 - (ii) ☐ The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);
 - (iii) ☐ The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
 - (iv) ☐ The facility does not fall within the following Standard Industrial Classification (SIC) codes or their corresponding North American Industry Classification System sectors:
 - (A) Major group code 10 (except 1011, 1081, and 1094).
 - (B) Major group code 12 (except 1241).
 - (C) Major group codes 20 through 39.
 - (D) Industry code 4911, 4931, or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce).
 - (E) Industry code 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, Subtitle C (42 U.S.C. 6921, et seq.), or 5169, or 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); or
 - (v) ☐ The facility is not located in the United States or its outlying areas.

The following Representations and Certifications are required for all Procurements greater than \$150,000 that result in a Firm Fixed Price (FFP) Contract

XIII Certificate of Independent Price Determination

- (1) The offeror certifies that-
 - (a) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to-
 - (i) Those prices;
 - (ii) The intention to submit an offer; or
 - (iii) The methods or factors used to calculate the prices offered.

- (b) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
 - (c) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
- (2) Each signature on the offer is considered to be a certification by the signatory that the signatory-
- (a) (i) Is the person in the offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to paragraphs (1)(a) through (1)(c) of this provision; or
 - (b) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to paragraphs (1)(a) through (1)(c) of this provision
- | | |
|---|--|
| Insert full name(s) and title(s) of person(s) in the organization responsible for determining the prices offered in this bid or proposal. | John W. Hanold
Director, Office of Sponsored Programs |
|---|--|
- (ii) As an authorized agent, does certify that the principals named in subdivision (2)(b)(i) of this provision have not participated, and will not participate, in any action contrary to paragraphs (1)(a) through (1)(c) of this provision; and
 - (iii) As an agent, has not personally participated, and will not participate, in any action contrary to paragraphs (1)(a) through (1)(c) of this provision. (3) If the offeror deletes or modifies paragraph (1)(b) of this provision, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

The following Representations and Certifications are required for all Procurements greater than \$650,000

XIV Cost Accounting Standards Notices and Certification

Note: This notice does not apply to small businesses or foreign governments. This notice is in three parts, identified by numbers 1 through 3.

Offerors shall examine each part and provide the requested information in order to determine Cost Accounting Standards (CAS) requirements applicable to any resultant contract.

If the offeror is an educational institution, Part B does not apply unless the contemplated contract will be subject to full or modified CAS coverage pursuant to 48 CFR 9903.201-2(c)(5) or 9903.201-2(c)(6), respectively.

- (1) Disclosure Statement-Cost Accounting Practices and Certification
 - (a) Any contract in excess of \$650,000 resulting from this solicitation will be subject to the requirements of the Cost Accounting Standards Board (48 CFR Chapter 99), except for those contracts which are exempt as specified in 48 CFR 9903.201-1.
 - (b) Any offeror submitting a proposal which, if accepted, will result in a contract subject to the requirements of 48 CFR Chapter 99 must, as a condition of contracting, submit a Disclosure Statement as required by 48 CFR 9903.202. When required, the Disclosure Statement must be submitted as a part of the offeror's proposal under this solicitation unless the offeror has already submitted a Disclosure Statement disclosing the practices used in connection with the pricing of this proposal. If an applicable Disclosure Statement has already been submitted, the offeror may satisfy the requirement for submission by providing the information requested in paragraph (c) of Part 1 of this provision.

Caution: In the absence of specific regulations or agreement, a practice disclosed in a Disclosure Statement shall not, by virtue of such disclosure, be deemed to be a proper, approved, or agreed-to practice for pricing proposals or accumulating and reporting contract performance cost data.

(c) Check the appropriate box below:

(i) Certificate of Concurrent Submission of Disclosure Statement. The offeror hereby certifies that, as a part of the offer, copies of the Disclosure Statement have been submitted as follows:

- (A) ☒ Original and one copy to the cognizant Administrative Contracting Officer (ACO) or cognizant Federal agency official authorized to act in that capacity (Federal official), as applicable; and
- (B) One copy to the cognizant Federal auditor. (Disclosure must be on Form No. CASB DS-1 or CASB DS-2, as applicable. Forms may be obtained from the cognizant ACO or Federal official and/or from the loose-leaf version of the Federal Acquisition Regulation.)

Date of Disclosure Statement 3/9/2006

Name and Address of
Cognizant ACO or Federal
Official Where Filed

Office of Naval Research
Chicago Regional Office
230 South Dearborn Street Room 380
Chicago, IL 60604-1595

The offeror further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the Disclosure Statement.

(ii) Certificate of Previously Submitted Disclosure Statement. The offeror hereby certifies that the required Disclosure Statement was filed as follows:

Date of Disclosure Statement 3/9/2006

Name and Address of
Cognizant ACO or Federal
Official Where Filed

Office of Naval Research
Chicago Regional Office
230 South Dearborn Street Room 380
Chicago, IL 60604-1595

The offeror further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the applicable Disclosure Statement.

(iii) ☒ Certificate of Monetary Exemption. The offeror hereby certifies that the offeror, together with all divisions, subsidiaries, and affiliates under common control, did not receive net awards of negotiated prime contracts and subcontracts subject to CAS totaling \$50 million or more in the cost accounting period immediately preceding the period in which this proposal was submitted. The offeror further certifies that if such status changes before an award resulting from this proposal, the offeror will advise BSA's Procurement and Property Management Division immediately.

(iv) ☐ Certificate of Interim Exemption. The offeror hereby certifies that

- (A) the offeror first exceeded the monetary exemption for disclosure, as defined in (iii) of this subsection, in the cost accounting period immediately preceding the period in which this offer was submitted and
- (B) in accordance with 48 CFR 9903.202-1, the offeror is not yet required to submit a Disclosure Statement. The offeror further certifies that if an award resulting from this proposal has not been made within 90 days after the end of that period, the offeror will immediately submit a revised certificate to BSA's Procurement and Property Management Division, in the form specified under paragraph (c)(i) or (c)(ii) of Part 1 of this provision, as appropriate, to verify submission of a completed Disclosure Statement.

- (d) Certificate of Disclosure Statement Due Date by Educational Institution. If the offeror is an educational institution that, under the transition provisions of 48 CFR 9903.202-1(f), is or will be required to submit a Disclosure Statement after receipt of this award, the offeror hereby certifies that (check one and complete):

- (i) ☒ A Disclosure Statement Filing Due Date of has been established with the cognizant Federal agency.
- (ii) ☐ The Disclosure Statement will be submitted within the 6-month period ending months after receipt of this award.

Date of Disclosure Statement

Name and Address of
Cognizant ACO or Federal
Official Where Filed

Caution: Offerors currently required to disclose because they were awarded a CAS-covered prime contract or subcontract of \$50 million or more in the current cost accounting period may not claim this exemption (d). Further, the exemption applies only in connection with proposals submitted before expiration of the 90-day period following the cost accounting period in which the monetary exemption was exceeded.

(2) Cost Accounting Standards-Eligibility for Modified Contract Coverage

If the offeror is eligible to use the modified provisions of 48 CFR 9903.201-2(b) and elects to do so, the offeror shall indicate by checking the box below. Checking the box below shall mean that the resultant contract is subject to the Disclosure and Consistency of Cost Accounting Practices clause in lieu of the Cost Accounting Standards clause.

☐ The offeror hereby claims an exemption from the Cost Accounting Standards clause under the provisions of 48 CFR 9903.201-2(b) and certifies that the offeror is eligible for use of the Disclosure and Consistency of Cost Accounting Practices clause because during the cost accounting period immediately preceding the period in which this proposal was submitted, the offeror received less than \$50 million in awards of CAS-covered prime contracts and subcontracts. The offeror further certifies that if such status changes before an award resulting from this proposal, the offeror will advise the Contracting Officer immediately.

Caution: An offeror may not claim the above eligibility for modified contract coverage if this proposal is expected to result in the award of a CAS-covered contract of \$50 million or more or if, during its current cost accounting period, the offeror has been awarded a single CAS-covered prime contract or subcontract of \$50 million or more.

(3) Additional Cost Accounting Standards Applicable to Existing Contracts

The offeror shall indicate below whether award of the contemplated contract would, in accordance with paragraph (1) (c) of the Cost Accounting Standards clause, require a change in established cost accounting practices affecting existing contracts and subcontracts. ☐ Yes ☒ No

PROCUREMENT AND PROPERTY MANAGEMENT DIVISION**BROOKHAVEN NATIONAL LABORATORY**

Managed by Brookhaven Science Associates, LLC
under contract to the U.S. Department of Energy

ACQUISITION MANAGEMENT SYSTEM FORM

Form No. AMS-Form-010

Revision No. 7

APPROVED BY:D. Rawlings / 05/15/12

PPM Manager/Date

REPRESENTATIONS AND CERTIFICATIONS - SUPPLIER INFORMATIONCompany Name Address line 1 Address line 2 City, State, Zip Country Phone Number Fax Number Email Address **Contractor Certifying Official**Name: Date: **For BSA use only**Vendor Code: Buyer/CS: **Taxpayer Identification Number (TIN)**☒ TIN Number

☐ TIN is not required because the Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States; Offeror is an agency or instrumentality of a foreign government; or Offeror is an agency or instrumentality of the Federal Government.

☐ Check if you require a 1099 for Tax Purposes**Common Parent**☒ Offeror is not owned or controlled by a Common Parent☐ Name and TIN of Common ParentName TIN

Small Business Program Representations

In order to self-certify below that you are a small business you must identify your North American Industry Classification System (NAICS) Code for the product or service you are providing to Brookhaven Science Associates (BSA)/Brookhaven National Laboratory (BNL). You must also identify the applicable small business size standard for that NAICS Code. To be considered a small business against a Size Standard that is for a number of employees the company (including the common parent if any) must employ fewer than the size standard lists and for a Size Standard based on annual sales the company (including the common parent if any) must have an average sales for the past three (3) years that are less than that value.

To look up the NAICS code for the product or service that you are selling to BSA/BNL, go to:
<http://www.census.gov/naics/2007/index.html> and enter a keyword for that product or service.

To look up the size standard for the NAICS code you picked above, go to:
http://www.sba.gov/sites/default/files/Size_Standards_Table.pdf

NAICS Code

Size Standard

Representations - select one of the options below.

<input type="radio"/> The offeror represents that it is a large business concern (BIG)
<input type="radio"/> The offeror represents that it is a foreign business concern (FRG)
<input checked="" type="radio"/> The offeror represents that it is an educational institution (EDU)
<input type="radio"/> The offeror represents that it is a federal government agency (FED)
<input type="radio"/> The offeror represents that it is a local government agency (LOC)
<input type="radio"/> The offeror represents that it is a government owned contractor operated concern (GOC)
<input type="radio"/> The offeror represents that it is a non-profit concern (NON)
<input type="radio"/> The offeror represents that it is a small business* concern (SML)

***Offerors that represents itself as a small business concern shall additionally check any of the boxes below that are applicable**

<input type="checkbox"/> The offeror represents that it is a small disadvantaged business concern as defined in 13 CFR 124 (MNR).
<input type="checkbox"/> The offeror represents that it is a woman owned small business concern (WOM).
<input type="checkbox"/> The offeror represents that it is a veteran owned small business concern (VET).
<input type="checkbox"/> The offeror represents that it is a service disabled veteran owned small business concern (DVT).
<input type="checkbox"/> The offeror represents that it is a US Small Business Administration certified 8(a) small business concern as defined in 13 CFR 124 and that no material change in ownership and/or control has occurred since it was certified by the U.S. Small Business Administration. Please note that a copy of your most recent 8(a) certification letter must be sent to Jill Clough-Johnston, Small Business Liaison Officer, Email address clough@bnl.gov .
<input type="checkbox"/> The offeror represents that it is a HUBZone small business concern (HUB) listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration. No material change in ownership and/or control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126. Please note that a copy of your most recent HUB-Zone certification letter must be sent to Jill Clough-Johnston, Small Business Liaison Officer, Email address clough@bnl.gov .



Re: Electronic Fund Transfer [AUTOMATIC DEPOSITS (ACH CREDITS)]

BROOKHAVEN NATIONAL LABORATORY
Managed by Brookhaven Science Associates, LLC
under contract to the U.S. Department of Energy

Authorization Information

BSA Procurement Representative

Seller/Company Name or DBA

Vendor Number (if applicable)

I hereby authorize Brookhaven National Laboratory/Brookhaven Science Associates to make payments to our company by initiating ACH (Automated Clearing House) credit entries or correcting entries to the account indicated below and the financial institution named below, to credit the same to such account. This authorization will remain in effect until the BNL/BSA, the Procurement Representative receives written notification to terminate same. It is my understanding that credit authorizations may be revoked only by notification by the originator as described in the rules and regulations specified by NACHA (National Automated Clearing House Association). If possible, please provide a voided copy of a check to assist with accurate data entry.

Financial Information

Financial Institution

Routing/Transit/ABA # (Bank 9-digit id)

Checking Account #

Email address to Confirm Transfer of Funds into Account

Print Name

Title

Telephone No

Date

Signed:

(Signature)

Definitions

Certifying Official

Means the individual at the organization identified in the Company Name Box that certifies the information on this form is accurate and complete. This is not a BSA Employee.

Taxpayer Identification Number (TIN)

Means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

Common Parent

Means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

For definitions of Small Business and Small Business subcategories, go to

<http://www.bnl.gov/ppm/SDB/DefinitionNAICS.asp>

Payment Requirements

Effective immediately, in order to do business with BSA, suppliers will be required to provide banking information to allow for Electronic Funds Transfer (EFT) payments. Please include a notification e-mail address where transaction postings will be sent when each transfer is completed.